

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).¹

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank. Or Handle other financial transactions related to the foreign Banks

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, Qatar National Bank (Q.P.S.C) ("Foreign Bank") hereby certifies as follows:

¹ A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.



B. Correspondent Accounts Covered by this Certification: Check one box.

- This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.
- This Certification applies to Correspondent Accounts established by _____ (name of Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check one box and complete the blanks.

- Foreign Banks maintains a **physical presence** in any country. That means:
- Foreign Bank has a place of business at the following street address: QNB Building Corniche Road, Doha Qatar, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in Doha Qatar (insert country), where Foreign Bank is authorized to conduct banking activities.
 - Foreign Bank is subject to inspection by Qatar Central Bank, (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.
- Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:
- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
 - Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.
- Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank

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hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, **if applicable.**

- 1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
- 2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete Item 3 below, **if applicable.**

- 3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family² shall be considered one **person**.

Name	Address

² The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

F. Process Agent: complete the following.

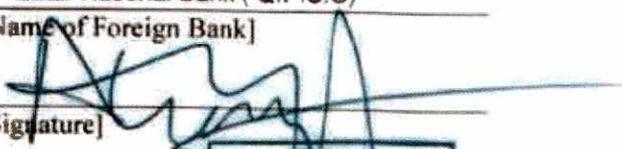
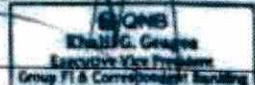
The following individual or entity: CT- Corporation System
is a resident of the United States at the following street address:
28 Liberty Street New York , NY 10015- USA, and
is authorized to accept service of legal process on behalf of Foreign Bank from the
Secretary of the Treasury or the Attorney General of the United States pursuant to
Section 5318(k) of title 31, United States Code.

G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Khalil Georges Geagea (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

Qatar National Bank (Q.P.S.C)
[Name of Foreign Bank]

[Signature]

[Printed Name]
Executive Vice President - FI and Correspondent Banking Relations, Group Treasury
[Title]

Executed on this 28 day of 11, 2024.



Received and reviewed by:

Name: _____

Title: _____

For: _____

[Name of Covered Financial Institution]

Date: _____

[67 FR 60573, Sept. 26, 2002]

Paperwork Reduction Act Notice

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Branches & Subsidiaries Covered By This Certification

Name	Address	Key Regulator
Qatar National Bank (Q.P.S.C)	Corniche Road , 1000 Doha, Qatar	Qatar Central Bank
QNB Capital	Office 101, 10 th floor Qatar Financial Centre Tower. Diplomatic Area , West Bay . Doha Qatar	Qatar Financial Center Regulatory Authority
QNB Financial Services	Al Sadd Sports Club, Fareej Al Sudan Area P.O. Box 24025 Doha, Qatar	Qatar Financial Market Authority (QFMA)
Qatar National Bank (QNB) (Q.P.S.C.) – KSA	Hamad Commercial Building, First Floor Olaya, King Fahad Road - P.O. Box 230180, Riyadh 11321	Saudi Arabia Monetary Authority (SAMA)
Qatar National Bank (QNB) (Q.P.S.C.) – UK	51 Grosvenor Street London W1K 3HH	Prudential Regulation Authority (PRA) ; Financial Conduct Authority (FCA)
Qatar National Bank (QNB) (Q.P.S.C.) – Paris	65 Avenue D Lena 75116 Paris	ACPR (Autorité de Contrôle Prudentiel et de Résolution) - Banque de France
Qatar National Bank (QNB) (Q.P.S.C.) – Singapore	Three Temasek Avenue #27-01 Centennial Tower, Singapore 039190	Monetary Authority of Singapore (MAS)
QNB (Suisse) SA Switzerland	QNB (Suisse) SA Quai du Mont Blanc 1 1201 Geneva - Switzerland	The Swiss Financial Market Supervisory



		Authority (FINMA)
Qatar National Bank (QNB) (Q.P.S.C.) – Kuwait	Kuwait - Sharq - Ahmed Al Jaber Street - Al Arabiya Tower - QNB - Ground floor.	Central Bank of Kuwait (CBK)
Qatar National Bank (QNB) (Q.P.S.C.) – Oman	CBD Area P.O.Box: 4050 Postal Code: 112 Ruwi Building No: 70 Way No: 30 Muscat, Sultanate of Oman	Central Bank of Oman (CBO)
Qatar National Bank (QNB) (Q.P.S.C.) – Sudan	QNB Tower – Africa Road St.17Amarat P.O. Box 8134 Khartoum, Sudan	Central Bank of Sudan (CBS)
Qatar National Bank (Q.P.S.C) - South Sudan	Central Bank of South Sudan (CBoSS)	Plot No 67 Port Road, Konyo-Konyo Market P.O. Box 587, Juba, South Sudan
Qatar National Bank (QNB) (Q.P.S.C.) – Yemen	Al Zubairi Street, Sana'a P.O. Box 4310,Sanaa,Yemen	Central Bank of Yemen (CBY)
QNB Syria Syrian Arab Republic	Al Abbasiyeen Square, P.O. Box: 33000 Damascus, Syria	Central Bank of Syria (CBS)
Qatar National Bank (QNB) (Q.P.S.C.) – Mauritania	Al-Khaima City Center 10, Rue Mamadou Konate P.O. Box 2049 Nouakchott, Mauritania	Central Bank of Mauritania
Qatar National Bank (QNB) (Q.P.S.C.) – Lebanon	Capital Plaza Building Ahmad Shawki Street - Mina El Hosn - SOLIDERE / Beirut – Lebanon	Banque Du Liban (BDL)
PT Bank QNB Indonesia Tbk	QNB Tower, 18 Parc Jl.Jend. Sudirman Kav. 52-53 12190	Bank Indonesia, FSA Banking Supervisor (OJK)
Qatar National Bank– Tunisia	Rue cité des sciences, B.P.320 - 1080 Tunis	Central Bank of Tunisia

Al Mansour Bank for Investment	Karada, District 928 , Street 18 , Bldg. 140 , Pobox: 3162 – Baghdad, Iraq.	Central Bank of Iraq (CBI)
QNB Al Ahli	5 Champolion; St. Down Town ; Cairo Egypt	Central Bank of Egypt
QNB FINANSBANK Turkey	Esentepe Mah. Büyükdere Cad. Kristal Kule Binası No: 215 Şişli, İstanbul	Central bank of the Republic of Turkey Banking Regulation and Supervisory Agency (BARSA)
Qatar National Bank (Q.P.S.C.) - India	G-1A, Ground Floor 4 North Avenue, Maker Maxity Bandra Kurla Complex, Bandra East Mumbai 400 051, India	Reserve Bank of India
Qatar National Bank (Q.P.S.C) Hong Kong	Suite 5801-04, 58/F, Two International Finance Centre, 8 Finance Street, Central, Hong Kong	Hong Kong Monetary Authority (HKMA)
Enpara Bank A.Ş	Esentepe Mah. Büyükdere Cad. No:215 Şişli / İstanbul	Central Bank of the Republic of Türkiye Banking Regulation and Supervision Agency (BRSA)